



outline of the presentation

- the growing accountability agenda
- the accountability crisis
- the benefits of accountability









unethical and fraudulent practices

- financial management
- academic management
- information management

the growing accountability agenda

- integrity and honesty
- effective use of resources and quality of results
- adherence to national policy goals

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accountability mechanisms

- legal requirements
 - licensing, accreditation, evaluation, academic audits
- financial incentives
 - linking resources and performance (funding formula, performance contract, demand-side mechanisms)

accountability mechanisms (II)

- surveys of student engagement
 - US, Canada, Germany, China, Taiwan, Australia, South Africa
- measurement of learning outcomes
 - institutional level (CLA, etc.)
 - national efforts (Brazil, Colombia, Jordan)
 - international approach (AHELO)

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accountability mechanisms (III)

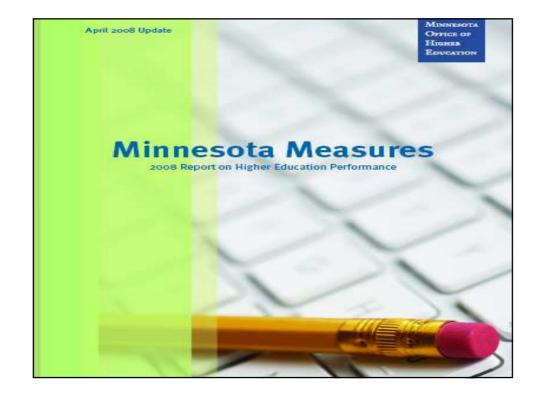
- labor market observatories
- Boards with external representation
- proliferation of rankings
 - press
 - independent bodies
 - government



ranking systems in 2009 Region National and International Ranking System

Region	National and International Ranking System			
Eastern Europe and Central Asia	Kazakhstan (A, B), Lithuania (C), Poland (C), Slovakia (B), Romania (B/C), Russia (B), Ukraine (B/C)			
East Asia and Pacific	Australia (B), China (B, C, IB), Hong Kong (C), Japan (B, C), Korea (A), Malaysia (A), New Zealand (A), Taiwan (B, IB), Thailand (A)			
Latin America and the Caribbean	Argentina (D), Brazil (A), Chile (C,D), Mexico (B), Peru (B)			
Middle East and North Africa	Tunisia (A)			
North America	Canada (B, C, B/C), United States (C, IC)			
South Asia	India (C/D), Pakistan (A)			
Sub-Saharan Africa	Nigeria (A)			
Western Europe	Germany (B/C, C), Italy (C), Netherlands (A), Portugal (C), Spain (B, C, IC), Sweden (C), Switzerland (B/C), United Kingdom (A, B, IC)			

monitoring and reporting increase in number of monitoring agencies Parliament funding body accreditation agency statistics unit benchmarking



clear goals

Building Minnesota's world-leading status in the knowledge economy requires setting goals for HE and measuring results.

Governor Tim Pawlenty

GOAL ONE

Improve success of all students, particularly students from groups traditionally underrepresented in higher education.

GOAL TWO

Create a responsive system that produces graduates at all levels who meet the demands of the economy.

GOAL THREE

Increase student learning and improve skill levels of students so they can compete effectively in the global marketplace.

GOAL FOUR

Contribute to the development of a state economy that is competitive in the global market through research, workforce training and other appropriate means.

GOAL FIVE | Provide access, affordability and choice to all students.



benchmarking

Research Expenditures as a Proportion of **Gross Domestic Product by State and Country**

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	2000	2001	2002	2003	2004
Top 3 States					
New Mexico					8.0%
Maryland					6.3%
Massachusetts					5.2%
Minnesota	2.3%	2.6%	2.6%	2.8%	2.7%
Rank	17	16	14	15	14
National average	2.7%	2.7%	2.5%	2.6%	2.4%
Peer States	2.7%	2.7%	2.5%	2.5%	2.5%
OECD Countries Average	2.2%	2.3%	2.2%	2.3%	2.3%
Top 3 Countries					
Finland		3.5%			
Japan		3.1%			
Korea					2.9%

Source: The National Science Foundation (national data), Organisation for Economic Cooperation and Development (International data).

Note: In order to scale the measure across states, the indicator was divided by gross domestic product by state which is provided by the Bureau of Economic Analysis.

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excessive requirements?

- reporting to death
- unfair rankings
- measurement of learning outcomes
 - Spellings Commission, AHELO

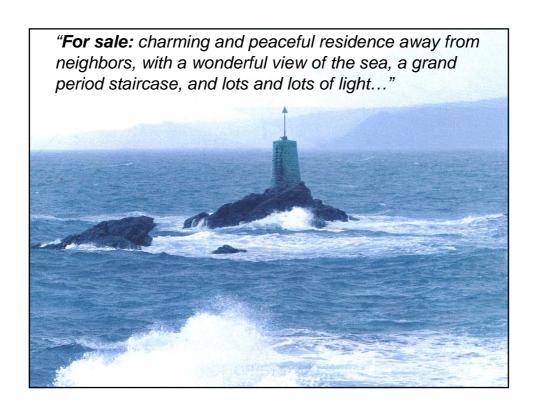
fear of transparency

complaints: Pakistan

boycott: US

legal action: New Zealand

national audits: Colombia



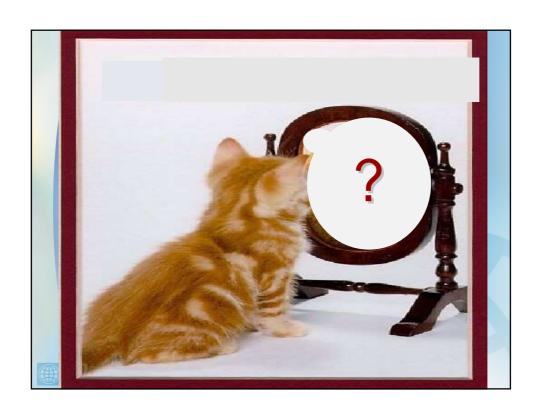
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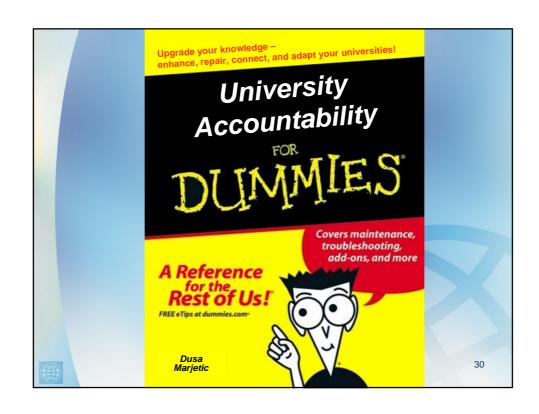
benefits of accountability

- choice of college
 - surveys of student engagement
 - information about labor market outcomes
- culture of transparency
- setting stretch goals









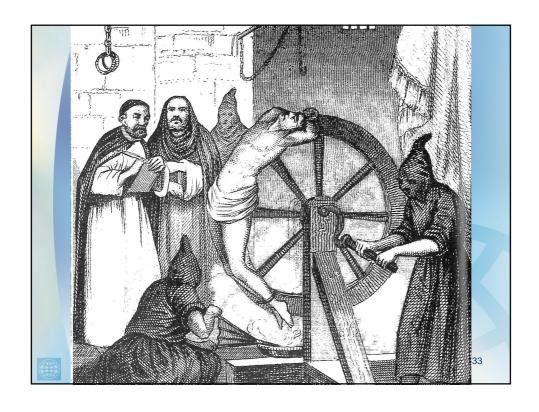
accountability is healthy

- fraud and corruption
- complacency / mediocre performance
- accountability for change

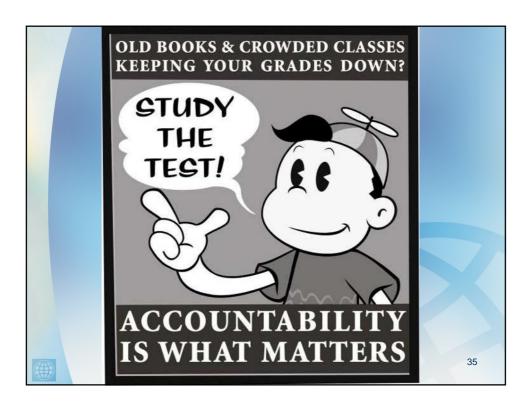
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principles for an appropriate accountability system

constructive mode







principles for an appropriate accountability system

- constructive mode
- * multi-dimensionality
- * mutually-agreed or voluntary



voluntary initiatives Ireland US (College Portrait) CHE partners

principles for an appropriate accountability system

- * constructive mode
- multi-dimensionality
- mutually-agreed or voluntary
- * no accountability without autonomy

